

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
1821.0040001/EKS/TJSAPPLICATION NO.
09/824,787APPLICANT
Zauderer et al.FILING DATE
April 4, 2001GROUP
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U.S. PATENT DOCUMENTS

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
amb	AL1	WO 99/33869	07/08/1999	WIPO	1	1	Yes No
↓	AM1	WO 99/37775	07/29/1999	WIPO	1	1	Yes No
amb	AN1	EP 1 033 401 A2	09/06/2000	Europe	1	1	Yes No
amb	AO1	WO 00/55173	09/21/2000	WIPO			Yes No
amb	AP1	WO 00/55350	09/21/2000	WIPO			Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	1	NCBI Entrez, GenBank Report, Accession No. T84927, from Hillier, L., et al., entry created 1995.
AS	1	NCBI Entrez, GenBank Report, Accession No. T92052, from Hillier, L., et al., entry created 1995.
AT	1	NCBI Entrez, GenBank Report, Accession No. R22401, from Hillier, L., et al., entry created 1995.

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amb Harris

DATE CONSIDERED

2/6/2003

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
<i>anh</i>	AL2	WO 01/40269 A2	06/07/2001	WIPO	—	—	Yes No
<i>anh</i>	AM2	WO 01/74859 A3 (Search Report Only)	10/11/2001	WIPO	—	—	Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	<u>2</u>	NCBI Entrez, GenBank Report, Accession No. R22331, from Hillier, L., <i>et al.</i> , entry created 1995.
AS	<u>2</u>	NCBI Entrez, GenBank Report, Accession No. R22332, from Hillier, L., <i>et al.</i> , entry created 1995.
AT	<u>2</u>	NCBI Entrez, GenBank Report, Accession No. R23369, from Hillier, L., <i>et al.</i> , entry created 1995.

EXAMINER <i>Am Harris</i>	DATE CONSIDERED <i>2/6/2003</i>
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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	3	NCBI Entrez, GenBank Report, Accession No. R23139, from Hillier, L., et al., entry created 1995.
AS	3	NCBI Entrez, GenBank Report, Accession No. R32153, from Hillier, L., et al., entry created 1995.
AT	3	NCBI Entrez, GenBank Report, Accession No. R32154, from Hillier, L., et al., entry created 1995.

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	AL						Yes No
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	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	<u>4</u>	NCBI Entrez, GenBank Report, Accession No. R63575, from Hillier, L., et al., entry created 1995.
AS	<u>4</u>	NCBI Entrez, GenBank Report, Accession No. R68901, from Hillier, L., et al., entry created 1995.
AT	<u>4</u>	NCBI Entrez, GenBank Report, Accession No. R68799, from Hillier, L., et al., entry created 1995.

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AR	<u>5</u>	NCBI Entrez, GenBank Report, Accession No. R12836, from Hillier, L., et al., entry created 1995.
AS	<u>5</u>	NCBI Entrez, GenBank Report, Accession No. H12779, from Hillier, L., et al., entry created 1995.
AT	<u>5</u>	NCBI Entrez, GenBank Report, Accession No. H56522, from Hillier, L., et al., entry created 1995.

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AR	6	NCBI Entrez, GenBank Report, Accession No. H56704, from Hillier, L., et al., entry created 1995.
AS	6	NCBI Entrez, GenBank Report, Accession No. H95363, from Hillier, L., et al., entry created 1995.
AT	6	NCBI Entrez, GenBank Report, Accession No. H96055, from Hillier, L., et al., entry created 1995.

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AR	7	NCBI Entrez, GenBank Report, Accession No. H96058, from Hillier, L., et al., entry created 1995.
AS	7	NCBI Entrez, GenBank Report, Accession No. H94832, from Hillier, L., et al., entry created 1995.
AT	7	NCBI Entrez, GenBank Report, Accession No. H96418, from Hillier, L., et al., entry created 1995.

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	AR	8	NCBI Entrez, GenBank Report, Accession No. H96422, from Hillier, L., et al., entry created 1995.
	AS	8	NCBI Entrez, GenBank Report, Accession No. N26715, from Hillier, L., et al., entry created 1995.
	AT	8	NCBI Entrez, GenBank Report, Accession No. N27088, from Hillier, L., et al., entry created 1995.

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	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	2	NCBI Entrez, GenBank Report, Accession No. N32532, from Hillier, L., et al., entry created 1996.
	AS	2	NCBI Entrez, GenBank Report, Accession No. N31910, from Hillier, L., et al., entry created 1996.
	AT	2	NCBI Entrez, GenBank Report, Accession No. N34596, from Hillier, L., et al., entry created 1996.

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AR	<u>10</u>	NCBI Entrez, GenBank Report, Accession No. N42748, from Hillier, L., et al., entry created 1996.
AS	<u>10</u>	NCBI Entrez, GenBank Report, Accession No. N42693, from Hillier, L., et al., entry created 1996.
AT	<u>10</u>	NCBI Entrez, GenBank Report, Accession No. AA026773, from Hillier, L., et al., entry created 1996.

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	AR	<u>11</u>	NCBI Entrez, GenBank Report, Accession No. AA026774, from Hillier, L., et al., entry created 1996.
	AS	<u>11</u>	NCBI Entrez, GenBank Report, Accession No. W37432, from Hillier, L., et al., entry created 1996.
	AT	<u>11</u>	NCBI Entrez, GenBank Report, Accession No. W32121, from Hillier, L., et al., entry created 1996.

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	AN						Yes No
	AO						Yes No
	AP						Yes No

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AR	<u>12</u>	NCBI Entrez, GenBank Report, Accession No. W44577, from Hillier, L., et al., entry created 1996.	
AS	<u>12</u>	NCBI Entrez, GenBank Report, Accession No. W51792, from Hillier, L., et al., entry created 1996.	
AT	<u>12</u>	NCBI Entrez, GenBank Report, Accession No. W57569, from Hillier, L., et al., entry created 1996.	

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AR	<u>13</u>	NCBI Entrez, GenBank Report, Accession No. W65390, from Hillier, L., et al., entry created 1996.	
AS	<u>13</u>	NCBI Entrez, GenBank Report, Accession No. W61294, from Hillier, L., et al., entry created 1996.	
AT	<u>13</u>	NCBI Entrez, GenBank Report, Accession No. AA037285, from Hillier, L., et al., entry created 1996.	

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AR	<u>14</u>	NCBI Entrez, GenBank Report, Accession No. AA502178, from NCI-CGAP, entry created 1997.
AS	<u>14</u>	NCBI Entrez, GenBank Report, Accession No. AA285089, from Hillier, L., et al., entry created 1997.
AT	<u>14</u>	NCBI Entrez, GenBank Report, Accession No. AA284919, from Hillier, L., et al., entry created 1997.

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AR	15	NCBI Entrez, GenBank Report, Accession No. AA308370, from Adams, M.D., et al., entry created 1997.
AS	15	NCBI Entrez, GenBank Report, Accession No. AA313422, from Adams, M.D., et al., entry created 1997.
AT	15	NCBI Entrez, GenBank Report, Accession No. AA337071, from Adams, M.D., et al., entry created 1997.

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	AR	<u>16</u>	NCBI Entrez, GenBank Report, Accession No. AA375119, from Adams, M.D., et al., entry created 1997.
	AS	<u>16</u>	NCBI Entrez, GenBank Report, Accession No. AA375286, from Adams, M.D., et al., entry created 1997.
	AT	<u>16</u>	NCBI Entrez, GenBank Report, Accession No. AA393628, from Hillier, L., et al., entry created 1997.

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	<u>17</u>	NCBI Entrez, GenBank Report, Accession No. AA568537, from NCI-CGAP, entry created 1997.
AS	<u>17</u>	NCBI Entrez, GenBank Report, Accession No. AA704668, from Hillier, L., et al., entry created 1997.
AT	<u>17</u>	NCBI Entrez, GenBank Report, Accession No. AA707623, from NCI-CGAP, entry created 1997.

EXAMINER	DATE CONSIDERED
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FORM PTO-1449 <u>INFORMATION DISCLOSURE STATEMENT</u>	ATTY. DOCKET NO. 1821.0040001/EKS/TJS	APPLICATION NO. 09/824,787
	APPLICANT Zauderer et al.	
	FILING DATE April 4, 2001	GROUP 1642

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	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	<u>18</u>	NCBI Entrez, GenBank Report, Accession No. AA813244, from NCI-CGAP, entry created 1998.
AS	<u>18</u>	NCBI Entrez, GenBank Report, Accession No. AA911823, from NCI-CGAP, entry created 1998.
AT	<u>18</u>	NCBI Entrez, GenBank Report, Accession No. AA830592, from NCI-CGAP, entry created 1998.

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	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	<u>19</u>	NCBI Entrez, GenBank Report, Accession No. AA872671, from NCI-CGAP, entry created 1998.
AS	<u>19</u>	NCBI Entrez, GenBank Report, Accession No. AA935328, from NCI-CGAP, entry created 1998.
AT	<u>19</u>	NCBI Entrez, GenBank Report, Accession No. AA971857, from NCI-CGAP, entry created 1998.

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	AN						Yes No
	AO						Yes No
	AP						Yes No

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	AR	<u>20</u>	NCBI Entrez, GenBank Report, Accession No. AA971638, from NCI-CGAP, entry created 1998.
	AS	<u>20</u>	NCBI Entrez, GenBank Report, Accession No. AI025384, from NCI-CGAP, entry created 1998.
	AT	<u>20</u>	NCBI Entrez, GenBank Report, Accession No. AI051009, from NCI-CGAP, entry created 1998.

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	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	<u>21</u>	NCBI Entrez, GenBank Report, Accession No. AI041967, from NCI-CGAP, entry created 1998.
AS	<u>21</u>	NCBI Entrez, GenBank Report, Accession No. AI083674, from NCI-CGAP, entry created 1998.
AT	<u>21</u>	NCBI Entrez, GenBank Report, Accession No. AI199227, from NCI/NINDS-CGAP, entry created 1998.

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AR	<u>22</u>	NCBI Entrez, GenBank Report, Accession No. AI285284, from NCI-CGAP, entry created 1998.
AS	<u>22</u>	NCBI Entrez, GenBank Report, Accession No. AI288765, from NCI-CGAP, entry created 1998.
AT	<u>22</u>	NCBI Entrez, GenBank Report, Accession No. AI336555, from NCI-CGAP, entry created 1998.

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AR	<u>23</u>	NCBI Entrez, GenBank Report, Accession No. AI362693, from NCI/NINDS-CGAP, entry created January 1999.
AS	<u>23</u>	NCBI Entrez, GenBank Report, Accession No. AI360416, from NCI/NINDS-CGAP, entry created January 1999.
AT	<u>23</u>	NCBI Entrez, GenBank Report, Accession No. AI439771, from NCI-CGAP, entry created February 1999.

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AR	24	NCBI Entrez, GenBank Report, Accession No. AI499727, from NCI/NINDS-CGAP, entry created February 1999.
AS	24	NCBI Entrez, GenBank Report, Accession No. AI525314, from Huang, G.M., et al., entry created March 1999.
AT	24	NCBI Entrez, GenBank Report, Accession No. AI672936, from NCI-CGAP, entry created May 1999.

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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
AR	25	NCBI Entrez, GenBank Report, Accession No. AI800991, from NCI-CGAP, entry created July 1999.	
AS	25	NCBI Entrez, GenBank Report, Accession No. AI914716, from NCI-CGAP, entry created July 1999.	
AT	25	NCBI Entrez, GenBank Report, Accession No. AI934846, from NCI/NINDS-CGAP, entry created August 1999.	

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	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	26	NCBI Entrez, GenBank Report, Accession No. AI937133, from NCI/NINDS-CGAP, entry created August 1999.
AS	26	NCBI Entrez, GenBank Report, Accession No. AW006797, from NCI-CGAP, entry created September 1999.
AT	26	NCBI Entrez, GenBank Report, Accession No. AW327450, from NIH-MGC, entry created November 1999.

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	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	27	NCBI Entrez, GenBank Report, Accession No. AI909652, from Dias Neto, E., et al., entry created December 1999.
AS	27	NCBI Entrez, GenBank Report, Accession No. AW406075, from NIH-MGC, entry created February 2000.
AT	27	NCBI Entrez, GenBank Report, Accession No. AW406223, from NIH-MGC, entry created February 2000.

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	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	28	EMBL Entry, Accession No. AW327450, from NIH-MGC, entry created January 2000.
AS	28	Comparison of C35 DNA sequence with actual sequences for deposited clones corresponding to W57569 (Document AT12) and AA971857 (Document AT19), prepared by inventors of parent application, Zauderer et al.
AT	28	Sahasrabudhe, D.M., et al., "Shared T Cell-Defined Antigens on Independently Derived Tumors," J. Immunol. 151:6302-6310, The American Association of Immunologists (1993)

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